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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|----------------|----------------------|---------------------|------------------|
| 10/772,129 | 02/04/2004 | Shaun Hanson | 702.103 | 4273 |
| 37902 7: | 590 06/29/2006 | | EXAMINER | |
| | DICAL TECHNOL | COMSTOCK, DAVID C | | |
| 5677 AIRLINE ROAD ARLINGTON, TN 38002-9501 | | | ART UNIT | PAPER NUMBER |
| , | | | 3733 | |

DATE MAILED: 06/29/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | |
|--|--|---------------|--|--|--|
| | 10/772,129 | HANSON ET AL. | | | |
| Office Action Summary | Examiner | Art Unit | | | |
| | David Comstock | 3733 | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | | | | | |
| Status | | | | | |
| 1) Responsive to communication(s) filed on | <u>.</u> | · | | | |
| 2a) This action is FINAL . 2b) ⊠ T | his action is non-final. | | | | |
| Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | |
| closed in accordance with the practice unde | er <i>Ex par</i> te <i>Quayle</i> , 1935 C.D. 11, 4 | 53 O.G. 213. | | | |
| Disposition of Claims | | | | | |
| 4) ☐ Claim(s) 1-20 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-20 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or election requirement. | | | | | |
| Application Papers | | | | | |
| 9) ☐ The specification is objected to by the Examiner. 10) ☑ The drawing(s) filed on <u>04 February 2004</u> is/are: a) ☐ accepted or b) ☑ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | |
| Attachment(s) | | | | | |
| (a) ☐ Notice of References Cited (PTO-892) (b) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) (c) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/6 (Paper No(s)/Mail Date 07/04. | 4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other: | | | | |

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DETAILED ACTION

Drawings

The drawings are objected to because they contain extraneous matter, which is not permitted. The labels in Figure 1 should be removed as the reference characters already establish the features to which they correspond as set forth in the specification. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 7, 8 and 12-15 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 7, line 2 and also in claim 12, line 10, it appears that "distal" should be changed to --proximal-- to accord with the figures and with the description in the Specification.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4 and 7-9, as best understood, are rejected under 35 U.S.C. 102(b) as being anticipated by Kapandji (2 660 856 A1; cited by Applicant).

Kapandji discloses an implant 1 comprising a stem 20 and a head 4 (see Fig. 2). Holes 26 pass through positions near both ends of the stem and are capable of accepting suture. The head includes a 200 degree arc. Component 30 can be considered a portion of the platform or an extension, and includes holes 27 through which suture could be passed.

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Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-20, as best understood, are rejected under 35 U.S.C. 103(a) as being unpatentable over Cooney, III et al. (6,302,915 B1; cited by Applicant) in view of Stubstad (3,745,590; cited by Applicant).

Cooney, III et al. clearly discloses the claimed invention except for the use of suture holes in portions of the device other than the head and except for the head having a through-bore. Stubstad discloses a similar device 10 and teaches attaching a ligature or suture 22 through both the head 11 and the stem 16/platform 19 structure in order to provide a continuity of strength through the prosthesis and resist dislocation of the joint to be corrected while still providing unrestricted natural motion (see, e.g. Fig. 2; col. 1, lines 5-11; and col. 2, lines 44-47). It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the implant device of Cooney, III et al. with holes in portions other than the head, such as the stem and platform, in view of Stubstad, in order to provide a continuity of strength through the prosthesis and resist dislocation of the joint to be corrected while still providing unrestricted natural motion. It would have been further obvious to have selected a bore depth in the head within a range resulting in a through bore, since it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the

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optimum or workable ranges involves only routine skill in the art. *In re Aller*, 105 USPQ 233. With regard to the particular locations of the various holes etc. it also would have been obvious to have positioned these at any of various locations on the device, since it has been held that mere relocation of parts of an invention involves only routine skill in the art. *In re Japikse*, 86 USPQ 70.

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Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Comstock whose telephone number is (571) 272-4710. Please leave a detailed voice message if examiner is unavailable. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo Robert can be reached at (571) 272-4719. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

D. Comstock

SUPERVISORY PATENT EXAMINER